

# **Complaints Policy and Procedure**

## **CONTENTS**

- 1. Purpose
- 2. Responsibility
- 3. How to make a formal complaint
- 4. Policy
- 5. Procedure
- 6. Complaints Appeal Process
- 7. Supporting Documentation

## 1. Purpose

At Francesco Group we are committed to providing high quality services for all, taking account of users' views, and using the findings to promote and develop capacity for sustainable improvement.

This document details our complaints procedure. A complainant may be an apprentice, prospective apprentice, parent, employer, or any other interested party that indicates dissatisfaction with the current level of service and/or training provided.

### 2. Responsibility

- 2.1 Overall responsibility for this procedure lies with the Director of Operations.
- 2.2 Day to day responsibility for implementation of this procedure lies with the Senior Management Team.
- 2.3 All staff have a responsibility to try to resolve complaints prior to using the Complaints Procedure.
- 2.4 All staff have a responsibility to forward formal complaints to the Senior Management Team.

## 3. How to make a formal complaint

- 3.1 You can make a complaint in the following ways:
  - In writing by completing the Complaints Form
  - By e-mail andrea@fgapprenticeships.co.uk (Director of Operations)
  - By telephone 01785 216183



- 3.2 If your complaint is on behalf of another person, we will need their permission before we can disclose any information to you.
- 3.3 For parent(s)/guardian(s)/ward(s) acting on behalf of a dependent, permission obtained as part of our recruitment process will be considered.
- 3.4 We will need as much detail as possible from you, for example:
  - Your name (and the name of the person that you are representing, if applicable).
  - Date of birth
  - Course (if applicable)
  - Full details of your complaint (i.e., dates/names of people involved).
  - Contact details
- 3.5 We will ask you for Equality and Diversity Information, for example Gender and Ethnicity. Providing these details helps us to make sure that all people are treated fairly.
- 3.6 An investigation may not be possible or could be limited for anonymous complaints, depending on the amount of information provided by the complainant.
- 3.7 Complaints should be raised within six months of the original issue so that evidence is available for the investigation.
- 3.8 Complainants should be aware that our staff have the right to work in a pleasant and safe environment and any violent or abusive behaviour towards staff will not be tolerated.

## 4. Policy

Francesco Group:

- 4.1 Will investigate your complaint thoroughly and objectively and it will be regularly monitored by the Director of Operations.
- 4.2 Aims to resolve your complaint within ten working days however some complaints, especially if the issue is more difficult to resolve, may take longer. Where possible we will advise you an approximate timescale for a resolution (dependent on the complexity of the issues raised).
- 4.3 Will identify actions from complaints received to improve and develop our services.

#### 5. Procedure

### 5.1 Apprentices

5.1.1 If an apprentice has a concern, it should initially be raised with their Educator or Relationship Manager (or an alternative member of staff if that is not appropriate). The member of staff must take every opportunity to resolve the complaint informally.



- 5.1.2 If an informal resolution is not possible, a Complaints Form should be completed by the apprentice (with assistance off a member of staff if required) and sent to the Director of Operations. If the complaint is received by telephone or e-mail, the member of staff can record the details on behalf of the complainant and send it to the Director of Operations/Senior Management Team.
- 5.1.3 We will ensure that apprentices will not be treated less favourably if they make a complaint, if this is found to be the case staff disciplinary procedures will be followed.
- 5.1.4 Apprentices should be aware that malicious complaints received in any form will be dealt with in line with the Behaviour Management and Disciplinary process.
- 5.1.5 Formal complaints are logged and acknowledged within two working days of receipt. The Director of Operations/Senior Management Team will then investigate the issues that you have raised.
- 5.1.6 The outcome will be communicated to you by your preferred method of contact (where possible).

## 5.2 All Other Complainants

- 5.2.1 Can contact the Director of Operations/Senior Management Team directly using the contact details in section three (page one) of this document.
- 5.2.2 Complaints are logged and acknowledged within two working days of receipt. The Director of Operations/Senior Management Team will then investigate the issues that you have raised.
- 5.2.3 The outcome will be communicated to you by your preferred method of contact.

### 6. Complaint Appeals Process

- 6.1 The complaint appeals process does not cover appeals against assessment and verification, behaviour management and disciplinary. Please refer to the relevant policies or ask a member of staff for more information.
- 6.2 If you are dissatisfied with the outcome of your complaint, you may appeal against the decision within ten working days of our response. If we do not receive an appeal during this period, your complaint will be closed, and this will conclude the complaints process.
- 6.3 Complaint appeals are investigated by the Managing Director, independent of the original complaint and the above timescales will apply. The outcome of this appeal concludes the complaints process.
- 6.4 If your complaint relates to an apprenticeship funded by the Education & Skills Funding Agency (ESFA) and the above Appeals Process has been exhausted, you can contact the Education & Skills Funding Agency within 12 months of the appeal decision using the contact details below:

Author: Director of Operations Version 7 (April 2024) Next Review April 2025



Customer Help Portal https://customerhelpportal.education.gov.uk

Postal Address: Complaints Team Education and Skills Funding Agency Cheylesmore House Quinton Road Coventry CV1 2WT

If you are unhappy with the ESFA response, you have the right to complain to the Parliamentary and Health Service Ombudsman (<a href="https://www.ombudsman.org.uk/">https://www.ombudsman.org.uk/</a>), through your local MP (<a href="https://members.parliament.uk/FindYourMP">https://members.parliament.uk/FindYourMP</a>).

## 7. Supporting Documentation

Author: Director of Operations

Complaint/Complaint Appeals Form

Signed:	Ila.	
Position:	DRECTOL OF OPERATIONS	
Date:	15.04.24	Next Review Date: October 2024



## COMPLAINT/COMPLAINT APPEALS FORM

If you need help to fill in this form please ask a member of staff to assist you.

Please forward complaints to: Director of Operations Francesco Group 4 Bailey Street Stafford ST17 4BG

Alternatively you can email or telephone the Director of Operations:-

e-mail: andrea@fgapprenticeships.co.uk Tel: 01785 216183

omplainant Det	ails (This section is to b	e completed by all comp	olainants)		
Name:					
Address:					
Telephone Num	ber:	Mobile No:			
Date of Birth:					
Email:					
reated fairly.	13ity miorination - 110v	viding the following deta	ns heips is to make t	are that an people an	
Apprentice	Parent	Employe	er	Other	
Age					
A STREET, SALES AND ADDRESS OF					
Under	16-18	19-25	25+	Prefer not	
Under 16	16-18	19-25	25+	Prefer not to say	
CALL CONTROL OF THE PARTY OF TH	16-18	19-25	25+		
16	16-18	19-25   Gender	25+		
16  Disability	Prefer not to say	Gender	25+ Female		
16  Disability		Gender		to say	
Disability Y N		Gender		to say	
Disability Y N	Prefer not to say	Gender y Male	Female	Prefer not to say	
Disability Y N  Ethnicity  Bangladeshi	Prefer not to say	Gender y Male  Black Caribbean		Prefer not to say  Chinese	
Disability Y N  Ethnicity  Bangladeshi	Prefer not to say	Gender y Male	Female Black other	Prefer not to say	
Disability Y N  Ethnicity Bangladeshi Indian  Any Other	Black African Mixed/Multiple Ethnicity Any Other	Gender y Male  Black Caribbean	Female Black other	Prefer not to say  Chinese	
Disability Y N  Ethnicity Bangladeshi Indian  Any Other White	Black African Mixed/Multiple Ethnicity Any Other Ethnic Group	Gender  y Male  Black Caribbean Other Asian  Prefer not to say	Female  Black other Pakistani	Prefer not to say  Chinese English	
Disability Y N  Ethnicity Bangladeshi Indian  Any Other White	Black African Mixed/Multiple Ethnicity Any Other Ethnic Group	Gender  y Male  Black Caribbean  Other Asian	Female  Black other Pakistani	Prefer not to say  Chinese English	
Disability Y N  Ethnicity Bangladeshi Indian  Any Other White epresentative D	Black African Mixed/Multiple Ethnicity Any Other Ethnic Group	Gender  y Male  Black Caribbean Other Asian  Prefer not to say	Female  Black other Pakistani	Prefer not to say  Chinese English	
Disability Y N  Ethnicity Bangladeshi Indian  Any Other White	Black African Mixed/Multiple Ethnicity Any Other Ethnic Group	Gender  y Male  Black Caribbean Other Asian  Prefer not to say	Female  Black other Pakistani	Prefer not to say  Chinese English	
Disability Y N  Ethnicity Bangladeshi Indian  Any Other White epresentative D  Name:	Black African Mixed/Multiple Ethnicity Any Other Ethnic Group	Gender  y Male  Black Caribbean Other Asian  Prefer not to say	Female  Black other Pakistani	Prefer not to say  Chinese English	
Disability Y N  Ethnicity Bangladeshi Indian  Any Other White epresentative D  Name: Address:	Black African Mixed/Multiple Ethnicity Any Other Ethnic Group Details (Please only com	Gender  y Male  Black Caribbean Other Asian  Prefer not to say  plete if you are contaction	Black other Pakistani ng us <b>on behalf</b> of t	Prefer not to say  Chinese English	
Disability Y N  Ethnicity Bangladeshi Indian  Any Other White epresentative D  Name: Address:  Telephone Num	Black African Mixed/Multiple Ethnicity Any Other Ethnic Group Details (Please only com	Gender  y Male  Black Caribbean Other Asian  Prefer not to say  plete if you are contaction	Female  Black other Pakistani	Prefer not to say  Chinese English	
Disability Y N  Ethnicity Bangladeshi Indian  Any Other White Representative D  Name:	Black African Mixed/Multiple Ethnicity Any Other Ethnic Group Details (Please only com	Gender  y Male  Black Caribbean Other Asian  Prefer not to say  plete if you are contaction	Black other Pakistani ng us <b>on behalf</b> of t	Prefer not to say  Chinese English	



Preferred Contact details – Please circle/indicate					
I would prefer to be contacted by Phone / by Email / In Writing					
Please contact the person above on my behalf, preferably by Phone / by Email / In Writing					
Complaint/Appeals Details:					
a a	*				
,					
, v					
Signed:	Date:				

#### **Data Protection Act 2018**

Author: Director of Operations

Francesco Group has a duty to protect the personal data it processes. To comply with this legislation, information must be collected and used fairly, stored safely and not disclosed to any person unlawfully as outlined in our Data Protection Policy, a copy of which can be obtained from the Data Protection Officer. Use of personal data contained within this form will only be used for its intended purpose and in accordance with the Data Protection Act 2018. We will not release any personal information to third party organisations without obtaining your written consent.